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**CERTIFICATE OF MAILING** 

10/50630

I hereby certify that this prospondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date appearing below.

**ELI LILLY AND COMPANY** 

By nhouse
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Date 12-13-04

# PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Gopalrathnam, et al.	)	Confirmation No. 3398
Serial No.:	10/506,301	)	
International Filing Date:	February 27, 2003	)	
For:	Activated Protein C Formulations	)	
Docket No.:	X-15199	)	-

## RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents Mail Stop Missing Parts P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to a "Notice to File Missing Parts of Application" dated October 12, 2004, noting the absence of a declaration from the papers filed with the above-identified application.

Enclosed herewith are: 1) a copy of the Notice and 2) a Declaration required under 37 C.F.R. 1.497(a). Also enclosed are: 1) a computer-readable copy of the Sequence Listing pursuant to 37 C.F.R. § 1.821(e); 2) a paper copy of the Sequence Listing pursuant to 37 C.F.R. § 1.821(c); 3) an affirmation pursuant to 37 C.F.R. § 1.821(f); and 4) a Preliminary Amendment amending the specification to include the sequence listing.

Please charge Deposit Account No. 05-0840 in the amount of \$130 for the surcharge required by §1.16(e). The Commissioner is hereby authorized to charge any additional fees which may be required by this Response, or credit any overpayment, to Deposit Account No. 05-0840. An original and two copies of this paper are enclosed.

Respectfully submitted,

Thomas E. LaGrandeur Attorney for Applicants Registration No. 51,026

Phone: 317-651-1527

Eli Lilly and Company Patent Division/TEL P.O. Box 6288

Indianapolis, Indiana 46206-6288

Dec. 13, 2004



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO Box 1439 Alexandra, Vegina 22313-1450 over unitager

ATTY, DOCKET NO U.S. APPLICATION NUMBER NO FIRST NAMED APPLICANT X-15199 10/506,301 Ganapathy Gopalrathnam

> INTERNATIONAL APPLICATION NO. PCT/US03/05046

25885 **ELI LILLY AND COMPANY PATENT DIVISION** P.O. BOX 6288

RECEIVED

LA. FILING DATE

PRIORITY DATE

02/27/2003

03/08/2002

**CONFIRMATION NO. 3398** 

**INDIANAPOLIS, IN 46206-6288** 

OCT 1 £ 2004 ELLULY & COMPANY, PATENT DEPT.

**371 FORMALITIES LETTER** 

\*OC000000014055016\*

Date Mailed: 10/12/2004

) 12-12-2004

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/01/2004
- Copy of the International Search Report filed on 09/01/2004
- Copy of IPE Report filed on 09/01/2004
- Preliminary Amendments filed on 09/01/2004
- Information Disclosure Statements filed on 09/01/2004
- U.S. Basic National Fees filed on 09/01/2004
- Assignment filed on 09/01/2004
- Priority Documents filed on 09/01/2004

The following Items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### **SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.

The following items MUST be furnished within the period set forth below:



- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c) and PCT Rule 5.2(a).
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### **WINSTON M ALVARADO**

Telephone: (703) 305-6421

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO	ATTY, DOCKET NO
10/506,301	PCT/US03/05046	X-15199

FORM PCT/DO/EO/905 (371 Formalities Notice)

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